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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing  
OR  
☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number Q01-1026-US1

First Named Inventor Franzel

**COMPLETE IF KNOWN**

Application Number /

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MODULE AND UNIFIED NETWORK BACKPLANE INTERFACE FOR  
LOCAL NETWORKS

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☒ Customer Number or Bar Code Label  OR ☒ Correspondence address belowName **MICHAEL ZARRABIAN**Address **1925 Century Park East, Suite 500**City **Los Angeles**State **CA**ZIP **90067**Country **USA**Telephone **310-226-6886**Fax **310-226-6887**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventorGiven Name **Kenneth S.**  
(first and middle [if any])Family Name **Franzel**  
or SurnameInventor's  
Signature*Kenneth S. Franzel*

Date

*10/15/01*Residence: City **Longmont**State **CO**Country **USA**Citizenship **USA**Mailing Address **902 Cranberry Court**City **Longmont**State **CO**ZIP **80503**Country **USA**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any])Family Name  
or SurnameInventor's  
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

City

State

ZIP

Country

☐ Additional inventors are being named on the \_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Docket No: Q01-1026-US1

**POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. ¶ 3.71 AND  
CERTIFICATION UNDER 37 C.F.R. 3.73(b)**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

1. The undersigned assignee of the entire interest in the application for Letters Patent for the invention entitled: Module and Unified Network Backplane Interface for Local Networks

  X   attached hereto

           Serial No.            Filed           

hereby appoints DAVID B. HARRISON, Registration No. 27,445, KATHY WILLIS, Registration No. 36,722, and MICHAEL ZARRABIAN, Registration No. 39,886, its attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventor(s) and his or her attorney(s) in accordance with the provisions of 37 C.F.R. ¶ 3.71.

Please direct all communications relative to this application to the following addressee:

David B. Harrison  
Chief Patent Counsel (Acting)  
Quantum Corporation  
501 Sycamore Drive  
Milpitas, California 95035  
Telephone (408) 944-4466  
FAX (408) 944-6581

2. Quantum Corporation, a Delaware corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

           A. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel           , Frame           , or for which a copy thereof is attached.

OR

           B. A chain of title from the inventor(s) of Application No.            filed           , to the current assignee of the instant application as shown below:

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Copies of assignments or other documents in the chain of title are attached hereto and incorporated herein by reference.

The undersigned has reviewed all the documents in the chain of title of the instant application, and, to the best of undersigned's knowledge and belief, title of the instant application is in the assignee identified above.

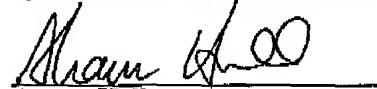
The undersigned, whose title is supplied below, is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

QUANTUM CORPORATION

Dated:

By:



Shawn Hall  
VP and General Counsel